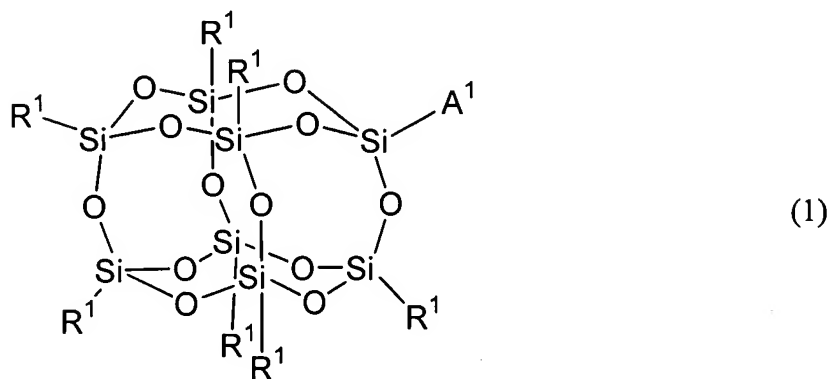


## Amendments to the Claims

1. (Currently amended) A silicon compound represented by Formula (1):



in Formula (1), seven R<sup>1</sup>'s are groups independently selected respectively from the group consisting of hydrogen, alkyl, substituted or non-substituted aryl and substituted or non-substituted arylalkyl; A<sup>1</sup> is an organic group substituted with a halogenated sulfonyl group; in ~~this- the alkyl group~~, ~~optional-hydrogen may be substituted with- optionally replaced by~~ fluorine, and ~~optional- -CH<sub>2</sub>- may be substituted with- optionally replaced by~~ -O-, -CH=CH-, cycloalkylene or cycloalkenylene; and in ~~the alkylene in this- moiety of the arylalkyl group~~, ~~optional-hydrogen may be substituted with- optionally replaced by~~ fluorine, and ~~optional- -CH<sub>2</sub>- may be substituted with- optionally replaced by~~ -O- or -CH=CH-.

2. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of hydrogen, alkyl having a carbon number of 1 to 45, substituted or non-substituted aryl and substituted or non-substituted arylalkyl; in ~~this- the alkyl group~~ having a carbon number of 1 to 45, ~~optional-hydrogen may be substituted with- optionally replaced by~~ fluorine, and ~~optional- -CH<sub>2</sub>- may be substituted with- optionally replaced by~~ -O-, -CH=CH-, cycloalkylene or cycloalkenylene; and

in the alkylene in this moiety of the arylalkyl group, optional-hydrogen may be substituted with optionally replaced by fluorine, and optional-CH<sub>2</sub>- may be substituted with optionally replaced by -O- or -CH=CH-.

3. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of hydrogen and alkyl having a carbon number of 1 to 30; and in the alkyl group having a carbon number of 1 to 30, optional-hydrogen may be substituted with optionally replaced by fluorine, and optional-CH<sub>2</sub>- may be substituted with optionally replaced by -O- or cycloalkylene.

4. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of alkenyl having a carbon number of 1 to 20 and a group in which optional-CH<sub>2</sub>- is substituted with optionally replaced by cycloalkenylene in alkyl having a carbon number of 1 to 20; in the alkenyl having a carbon number of 1 to 20, optional-hydrogen may be substituted with optionally replaced by fluorine, and optional-CH<sub>2</sub>- may be substituted with optionally replaced by -O- or cycloalkylene; and in the group in which optional-CH<sub>2</sub>- is substituted with optionally replaced by cycloalkenylene in alkyl having a carbon number of 1 to 20, optional-hydrogen may be substituted with optionally replaced by fluorine.

5. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of naphthyl and phenyl in which optional-hydrogen may be substituted with optionally replaced by halogen or alkyl having a carbon number of 1 to 10; in this the alkyl group having a carbon number of 1 to 10, optional-hydrogen may be substituted with optionally replaced by fluorine, and optional-CH<sub>2</sub>- may be substituted with optionally replaced by -O-, -CH=CH-, cycloalkylene or phenylene.

6. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of phenylalkyls in which ~~optional~~ hydrogen on a benzene ring may be ~~substituted with~~ optionally replaced by halogen or alkyl having a carbon number of 1 to 12;

in ~~this~~ the alkyl group having a carbon number of 1 to 12, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be substituted ~~with~~ optionally replaced by -O-, -CH=CH-, cycloalkylene or phenylene; and in the alkylene in moiety of the phenylalkyl group, which has a carbon number of 1 to 12, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be substituted ~~with~~ optionally replaced by -O- or -CH=CH-.

7. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are groups independently selected respectively from the group consisting of alkyl having a carbon number of 1 to 8, phenyl, non-substituted naphthyl and phenylalkyl;

in the alkyl group having 1 to 8 carbon atoms, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be substituted ~~with~~ optionally replaced by -O-, -CH=CH-, cycloalkylene or cycloalkenylene;

in the phenyl, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by halogen, methyl or methoxy;

in phenyl in the phenylalkyl group, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, alkyl having a carbon number of 1 to 4, ethenyl or methoxy;

~~in the alkylene in moiety of the phenylalkyl group, it~~ has a carbon number of 1 to 8, and ~~optional~~ -CH<sub>2</sub>- in the alkylene moiety may be substituted ~~with~~ optionally replaced by -O- or -CH=CH-.

8. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are one group selected from the group consisting of alkyl having a carbon number of 1 to 8, phenyl, non-substituted naphthyl and phenylalkyl;

in the alkyl having a carbon number of 1 to 8, ~~optional~~ hydrogen may be substituted with optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be substituted with optionally replaced by -O-, -CH=CH-, cycloalkylene or cycloalkenylene;

in the phenyl, ~~optional~~ hydrogen may be substituted with optionally replaced by halogen, methyl or methoxy;

in phenyl in the phenylalkyl group, ~~optional~~ hydrogen may be substituted with optionally replaced by fluorine, alkyl having a carbon number of 1 to 4, ethenyl or methoxy;

~~in the alkylene in moiety of the phenylalkyl group, it~~ has a carbon number of 1 to 8, and ~~optional~~ -CH<sub>2</sub>- in the alkylene moiety may be substituted with optionally replaced by -O- or -CH=CH-.

9. (Currently amended) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are one group selected from the group consisting of phenyl, naphthyl and phenylalkyl;

in the phenyl, ~~optional~~ hydrogen may be substituted with optionally replaced by halogen, methyl or methoxy;

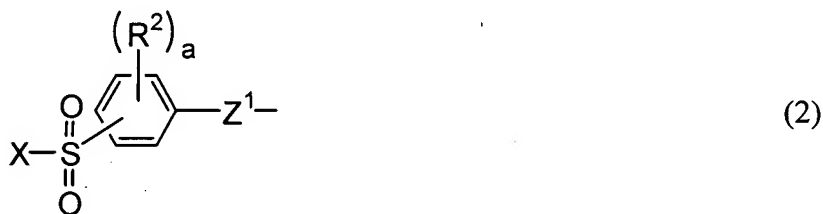
in phenyl in the phenylalkyl group, ~~optional~~ hydrogen may be substituted with optionally replaced by fluorine, alkyl having a carbon number of 1 to 4, ethenyl or methoxy;

~~in the alkylene in moiety of the phenylalkyl group, it~~ has a carbon number of 1 to 8, and ~~optional~~ -CH<sub>2</sub>- in the alkylene moiety may be substituted with optionally replaced by -O-.

10. (Original) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are ethyl, 2-methylpropyl, 2,4,4-trimethylpentyl, 3,3,3-trifluoropropyl, cyclopentyl, cyclohexyl or non-substituted phenyl.

11. (Original) The silicon compound as described in claim 1, wherein seven R<sup>1</sup>'s in Formula (1) are non-substituted phenyl.

12. (Currently amended) The silicon compound as described in any of claims 1 to 11, wherein A<sup>1</sup> in Formula (1) described in claim 1 is a group represented by Formula (2):

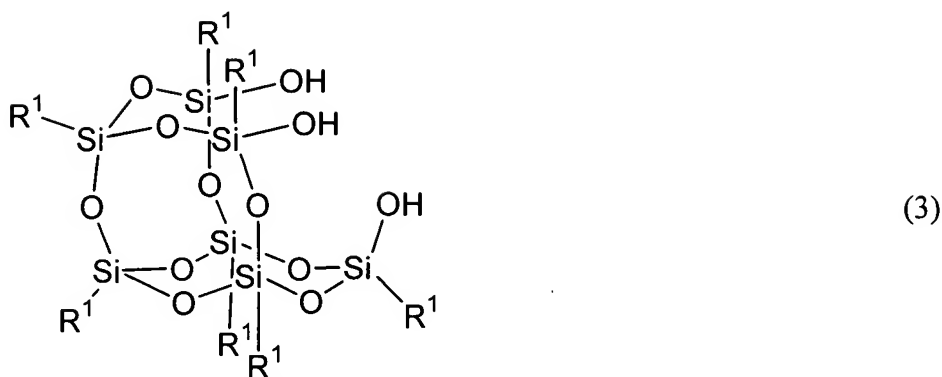


in Formula (2), X is halogen; R<sup>2</sup> is alkyl having a carbon number of 1 to 3; a is an integer of 0 to 2; Z<sup>1</sup> is a single bond or alkylene having a carbon number of 1 to 10; in ~~this the~~ alkylene having a carbon number of 1 to 10, optional -CH<sub>2</sub>- may be substituted with optionally replaced by -O-, -COO- or -OCO-; and both of the bonding positions of halogenated sulfonyl and R<sup>2</sup> on ~~a the~~ the benzene ring are optional positions.

13. (Currently amended) The silicon compound as described in claim 12, wherein Z<sup>1</sup> in Formula (2) is Z<sup>2</sup>-C<sub>2</sub>H<sub>4</sub>-; Z<sup>2</sup> is a single bond or alkylene having a carbon number of 1 to 8, and ~~optional -CH<sub>2</sub>- in this the alkylene group may be substituted with optionally replaced by~~ -O-, -COO- or -OCO-.

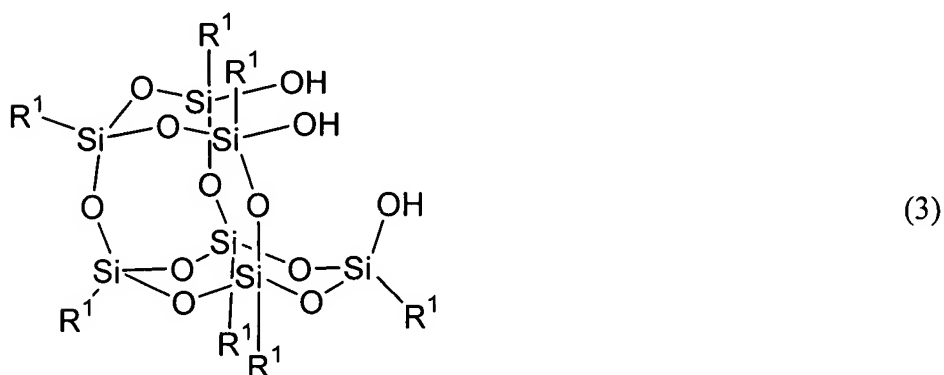
14. (Original) The silicon compound as described in claim 12, wherein in Formula (2), Z<sup>1</sup> is -C<sub>2</sub>H<sub>4</sub>-; X is chlorine or bromine; and a is 0.

15. (Currently amended) A production process for the silicon compound represented by Formula (1) as described in claim 1, ~~characterized by which comprises~~ reacting a compound represented by Formula (3) with trichlorosilane having a halogenated sulfonyl group:



in Formula (3), seven R<sup>1</sup>'s are groups independently selected respectively from the group consisting of hydrogen, alkyl, substituted or non-substituted aryl and substituted or non-substituted arylalkyl; in this the alkyl group, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be ~~substituted with~~ optionally replaced by -O-, -CH=CH-, cycloalkylene or cycloalkenylene; and in the alkylene in moiety of the arylalkyl group, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be ~~substituted with~~ optionally replaced by -O- or -CH=CH-.

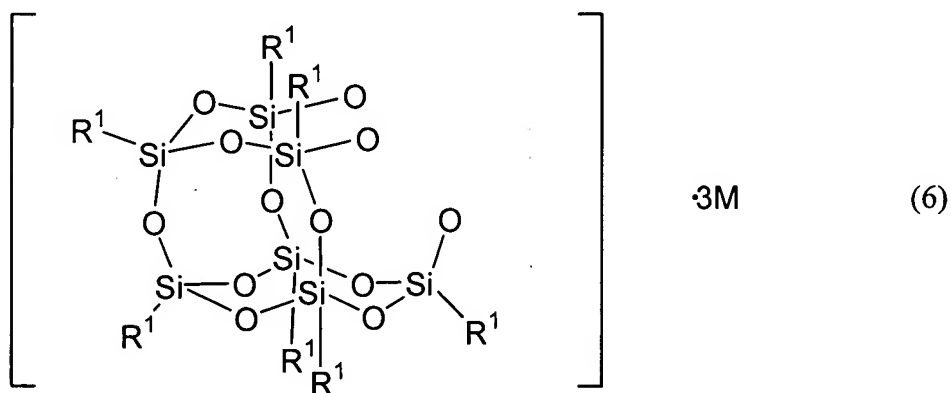
16. (Currently amended) A production process for a silicon compound represented by Formula (5), ~~characterized by~~ which comprises reacting a compound represented by Formula (3) with a compound represented by Formula (4):





8

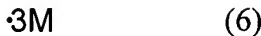
17. (Currently amended) A production process for the silicon compound represented by Formula (1) as described in claim 1, ~~characterized by~~ which comprises reacting a compound represented by Formula (6) with trichlorosilane having a halogenated sulfonyl group:



in Formula (6), seven R<sup>1</sup>'s are groups independently selected respectively from the group consisting of hydrogen, alkyl, substituted or non-substituted aryl and substituted or non-substituted arylalkyl; M is a monovalent alkali metal atom; ~~in this~~ the alkyl group, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be ~~substituted with~~ optionally replaced by -O-, -CH=CH-, cycloalkylene or cycloalkenylene; and ~~in the alkylene in this moiety of the arylalkyl group~~, ~~optional~~ hydrogen may be ~~substituted with~~ optionally replaced by fluorine, and ~~optional~~ -CH<sub>2</sub>- may be ~~substituted with~~ optionally replaced by -O- or -CH=CH-.

18. (Currently amended) A production process for a silicon compound represented by Formula (5), ~~characterized by~~ which comprises reacting a compound represented by Formula (6) with a compound represented by Formula (4):



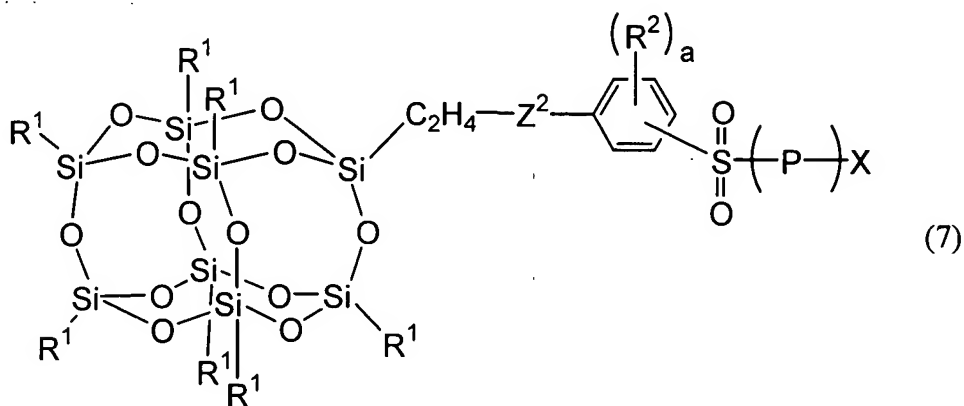


10

$R^1$  in Formula (5) has the same meaning as that of  $R^1$  in Formula (6); in Formula (4), X is halogen;  $R^2$  is alkyl having 1 to 3 carbon atoms; a is an integer of 0 to 2;  $Z^2$  is a single bond or alkylene having a carbon number of 1 to 8; in the alkylene group having a carbon number of 1 to 8, ~~optional~~  $-CH_2-$  may be substituted with optionally replaced by  $-O-$ ,  $-COO-$  or  $-OCO-$ ; both of the bonding positions of halogenated sulfonyl and  $R^2$  on ~~a~~ the benzene ring are optional positions; and the meanings of X,  $R^2$ , and  $Z^2$  in Formula (5) and the bonding positions of halogenated sulfonyl and  $R^2$  on ~~a~~ the benzene ring are the same as those in Formula (4).

19. (Original) A polymer obtained by polymerizing a vinyl base monomer using the silicon compound represented by Formula (1) as described in claim 1 as an initiator and a transition metal complex as a catalyst.

20. (Currently amended) A polymer represented by Formula (7) obtained by polymerizing a vinyl base monomer using the silicon compound represented by Formula (1) as described in claim 18 as an initiator and a transition metal complex as a catalyst:



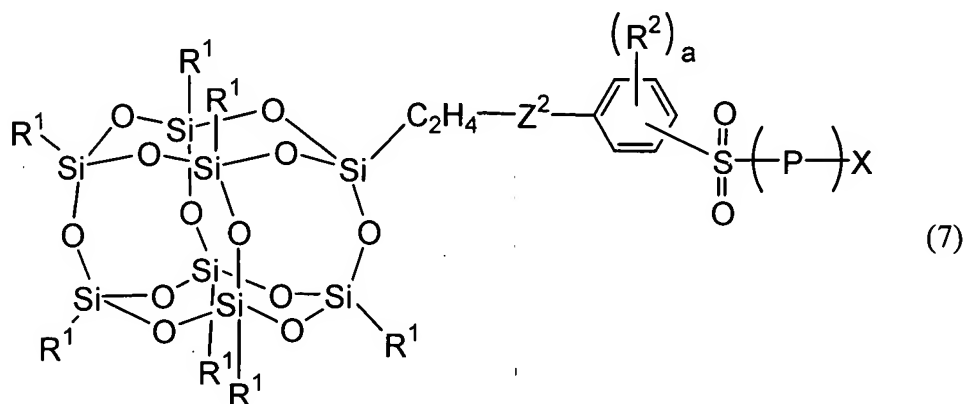
the meanings of  $R^1$ ,  $Z^2$ ,  $R^2$ , a and X in Formula (7) and the bonding positions of halogenated sulfonyl and  $R^2$  on the benzene ring are the same as those in Formula (6) as described in claim 18, and P is a vinyl base polymer.

21. (Original) The polymer as described in claim 19 or 20, wherein the vinyl base monomer is at least one selected from the group consisting of a (meth)acrylic acid derivative and a styrene derivative.

22. (Original) The polymer as described in claim 19 or 20, wherein the vinyl base monomer is at least one selected from the group consisting of the (meth)acrylic acid derivatives.

23. (Currently amended) A polymerization process for a vinyl base monomer ~~characterized by which comprises~~ using the silicon compound represented by Formula (1) as described in claim 1 as an initiator and using a transition metal complex as a catalyst.

24. (Currently amended) A production process for the polymer represented by Formula (7) ~~as described in claim 20, characterized by:~~



the meanings of R<sup>1</sup>, Z<sup>2</sup>, R<sup>2</sup>, a and X in Formula (7) and the bonding positions of halogenated sulfonyl and R<sup>2</sup> on the benzene ring are the same as those in Formula (6) as described in claim 18, and P is a vinyl base polymer, which comprises  
 polymerizing a vinyl base monomer using the compound represented by Formula (5) as described in claim 18 as an initiator and using a transition metal complex as a catalyst.